

#5  
11040 U.S. PTO  
09/855684  
05/16/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : William J. Clancey et al.                      Prior Art Unit : 2776  
Serial No. : Divisional                                      Prior Examiner: M. Perkins  
Filed : May 14, 2001  
Title : POPULATING CELS OF AN ELECTRONIC FINANCIAL STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

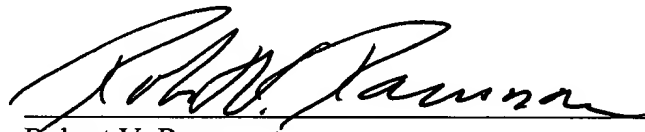
Applicant submits the references listed on the attached form PTO-1449.

Under 35 USC §120, this application relies on the earlier filing date of application serial number 09/114,590, filed on July 13, 1998. The following references were submitted to and/or cited by the Office in the prior application and, therefore, are not provided in this application:

This statement is being filed with the application. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: May 16, 2001

  
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\* Practice in Washington, D.C. limited to matters and proceedings before federal courts and agencies.

#6

PATENT  
ATTORNEY DOCKET NO. 09158/003001

11040 U.S. PTO  
09/055604  
05/16/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Bill Clancey et al.  
Serial No.: 09/114,590<sup>09/855,681</sup>  
Filed : 07/13/1998  
Title : POPULATING CELLS OF AN ELECTRONIC FINANCIAL STATEMENT

Art Unit: 276  
Examiner: Unassigned

Assistant Commissioner for Patents  
Washington, DC 20231


SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO 1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account 06-1050.

Respectfully submitted,


Date: 3/1/99

  
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104389.PA1

Date of Deposit 3/1/99  
I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
STEVEN W. SACK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : William J. Clancey et al.                      Art Unit: Unassigned  
Serial No.: 09/114,590    Examiner: Unassigned  
Filed : July 13, 1998  
Title : POPULATING CELLS OF AN ELECTRONIC FINANCIAL STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449.

The Examiner is requested to make these citations of official record in this application. Applicants would appreciate the Examiner initialling and returning an initialled copy of form PTO-1449, indicating that the references have been considered and made of record herein.

Under 35 USC 120, this application relies on the earlier filing date of application serial number 08/933,584, filed on 9/19/1997. The references were submitted to and/or cited by the Office in the prior application and, therefore, are not provided in this application.

This statement is being filed before the receipt of a first Office Action on the merits.

Date of Deposit September 11, 1998

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



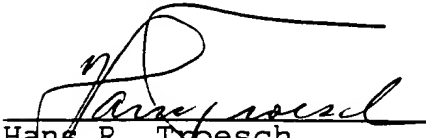
Rosa A. Caviedes

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06-1050.

Respectfully submitted,

Date: 11 Sept 1998

  
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95567. PAL1



Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 09158-003002	Application No. Not Yet Assigned
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant William J. Clancey et al.	
		Filing Date May14, 2001	Group Art Unit Not Yet Assigned

PTO 1040 U.S. 09/0555604

05/16/01

### U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,761,508	6/98	OKUNO	707	100 X	
	AB	6,134,563	10/00	CLANCEY ET AL	707	503	
	AC	6,112,199	8/00	NELSON	707	4	
	AD	5,359,724	10/94	EARLE	707	205	
	AE	6,134,564	10/00	LISTOU	707	505	
	AF	6,002,865	12/00	THOMSEN	707	3	
	AG	5,768,158	6/98	ADLER ET AL	716	11	
	AH	5,553,215	9/96	KAETHLER	707	503	
	AI	5,293,615	3/94	AMADO	707	4	
	AJ	4,989,141	1/91	LYONS ET AL	705	36	
	AK	4,642,767	2/87	LERNER	705	30	
	AL	3,749,892	7/73	STENNING	705	36	

### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AM							
	AN							
	AO							
	AP							
	AQ							

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AR	Stultz, Russell A. Learn Microsoft Office 97 Woodward Publishing Inc. ISBN 1556225407. ©1997.
	AS	"XL: AppNote XE0210: Creating and Using tables" (Microsoft Tech Support Document). Original text dated 4/97. Last reviewed 10 December 1999. Found online at support.Microsoft.com.
	AT	"XL: Using the Lookup Wizard for Microsoft Excel (Lookup.xla)" (Microsoft Tech Support Document). States that information works back to version 5.0 of Excel. Last reviewed 3 November 1999. Found online at support.Microsoft.com.

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 09158-003002	Application No. Not Yet Assigned
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))			Applicant William J. Clancey et al.	
			Filing Date May14, 2001	Group Art Unit Not Yet Assigned
<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>				
Examiner Initial	Desig. ID	Document		
	AU	"XL97: Natural Language Formulas Return Error" (Microsoft Tech Support Document). Last reviewed 11 October 1999. Found online at support.Microsoft.com.		
	AV	"About Labels and Names in Formulas" (printout of Excel 97 help topic).		
	AW	"Microsoft Announces the Immediate Availability of Office 97" (Press Release). Dated 16 January 1997.		
	AX	"Microsoft Office 97 Released to Manufacturing" (Press Release). Dated 19 November 1996.		
	AY	"MS Excel 97 Feature List" (Microsoft TechNet Document). Published October 1996.		
	AZ	Coughlan, Kieren L., and Nolan, Paul J. "Developing Special Purposes Simulations Under Microsoft Windows." Proceedings of the 1995 Winter Simulation Conference (held 3-6 December 1995). ACM. ©1995. pages 969-976.		
	AAA	Isakowitz, Tomas, Shimon Schocken, Henry C. Lucas. "Toward a Logical/Physical Theory of Spreadsheet Modeling." ACM Transactions of Information Systems, vol. 13, no. 1 January 1995. ACM ©1995. pages 1-37.		
	ABB	Ronen, Boaz, Michael A. Palley, Henry C. Lucas. "Spreadsheet Analysis and Design" Communications of the ACM, vol. 32, no. 1 January 1989. ACM ©1989. pages 84-93.		

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	